



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,473	06/01/2001	Wenhua Lin	LIGHT1960

25548  
GRAY CARY WARE & FREIDENRICH  
4365 EXECUTIVE DRIVE  
SUITE 1600  
SAN DIEGO, CA 92121-2189



CONFIRMATION NO. 9503

## FORMALITIES LETTER



\*OC000000006380915\*

Date Mailed: 08/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/872,473 00000049 09872473

355.00 DP  
180.00 DP  
40.00 DP  
65.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$220.
  - \$180 for 20 total claims over 20.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 640.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

EP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09872473-100901  
T0600T E42/860

Sector 1/1

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenhua Lin

Serial No.: 09/872,473

Filed: June 1, 2001

For: TUNABLE DISPERSION COMPENSATOR



Group No.: 2874

Examiner: Unknown

Docket No. LIGHT1960

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed hereinafter are being deposited with the United States Postal Service as first class mail on this date 20 September 2001 in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

20 September 2001 Therence A. Meador  
Date Signature

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated August 3, 2001.

Transmitted herewith is the executed combined Declaration/Power of Attorney document and THIRTY-TWO sheets of drawings with correct margins.

The Fee for this Response is calculated as follows:

For	Number Filed		Number Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	40 - 20	=	20	x \$18.00	x \$9.00	\$180.00
Independent Claims	1 - 3	=	1	x \$80.00	x \$40.00	\$40.00
Basic Filing Fee				\$710.00	\$355.00	\$355.00
					TOTAL FEE	\$575.00

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Applicant: Wenhua Lin  
Serial No.: 09/872,473 - Filed: 06/01/01  
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Enclosed in the amount of \$640.00; which consists of \$575.00 for the filing fee and \$65.00 surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted

  
TERRANCE A. MEADOR  
Reg. No. 30, 298

Date *20 September 2001*

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